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Patent, Trademark & Copyright Attorneys

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FAX TRANSMISSION COVER LETTER

TO: Commissioner for Patents

Attn: Examiner L. Thanh

Mail Stop Amendment

P.O. Box 1450

Alexandria, VA 22313-1450

DATE: August 4, 2005

FROM: David M. Crompton

OUR REF: 1001.1766101 TELEPHONE: 612-677-9050

Total pages, including cover letter: 5

PTO FAX NUMBER: <u>571-273-8300</u>

If you do NOT receive all of the pages, please telephone us at (612) 677-9050, or fax us at (612) 359-9349.

Title of Document(s) Transmitted: INFORMATION DISCLOSURE STATEMENT,

CERTIFICATION AND STATEMENT FOR

INFORMATION DISCLOSURE STATEMENT, AND

FORM PTO-1449

Applicant: Elaine Lim et al. Serial No.: 10/792,076 Filed: March 2, 2004

Group Art Unit: 3763 Our Ref. No.: 1001.1766101 Confirmation No.: 4203 Customer No.: 28075

Please charge Deposit Account No. 50-0413 as follows: Fee Code ____ \$___. Please consider this a PETITION FOR EXTENSION OF TIME for a sufficient number of months to enter these papers, if appropriate. Please charge any additional fees of credit overpayment to Derfosit Account No. 50-0413.

Reg. No. 36,772

I hereby certify that this paper is being transmitted by facsimile to the U.S. Patent and Trademark Office on the date shown below.

August 4, 2005 Date

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PATENT

P.02

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re:

Elaine Lim et al.

Confirmation No.: 4203

Serial No.:

10/792,076

Examiner: L. Thanh

Filing Date:

March 2, 2004

Group Art Unit: 3763

Docket No.:

1001.1766101

Customer No.: 28075

For:

OCCLUSION BALLOON CATHETER WITH LONGITUDINALLY

EXPANDABLE BALLOON

Mail Stop Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

CERTIFICATE OF FACSIMILE TRANSMISSION UNDER 37 C.F.R. §1.8 (1(1)(B))

I hereby certify that this correspondence is being transmitted by facsimile to the United States Patent and Trademark Office at 571-273-8300 on the date shown below.

> Kathleen L. Bockley Type or print name of person signing certification

August 4, 2005

Dear Sir:

Pursuant to the obligations of candor and good faith imposed by 37 C.F.R. § 1.56, the documents listed on the attached PTO-1449 are hereby disclosed.

In accordance with 37 C.F.R. § 1.98(a)(2), a copy of each non-U.S. patent/publication document or other information listed on the enclosed Form PTO-1449 is provided.

No representation is intended to be made hereby that any of the cited references establishes, by itself or in combination with other information, a prima facie case of unpatentability of any claim of the present case.

8/4/05

Respectfully submitted,

Elaine Lim et al.

By their Attorney

David M. Crompton, Reg. No. 36,77

CROMPTON, SEAGER & TUFTE, LLC

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AUG 0 4 2005

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re:

ALIG-04-2005

15:08

Elaine Lim et al.

Confirmation No.: 4203

Serial No.:

10/792,076

Examiner: L. Thanh

Filing Date:

March 2, 2004

Group Art Unit: 3763

Docket No.:

1001.1766101

Customer No.: 28075

For:

OCCLUSION BALLOON CATHETER WITH LONGITUDINALLY

EXPANDABLE BALLOON

Mail Stop Amendment Commissioner for Patents PO Box 1450 Alexandria, VA 22313-1450

CERTIFICATION AND STATEMENT FOR INFORMATION DISCLOSURE STATEMENT

		// (1) (1) (1) (1) (1)
	FACSIMILE TRANSMISSION UNDER 37 C.F.R.	81'8 (Tiilb))
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CERTIFICATE OF	FACSIMALE Transfer Pa	tent and ITAGE
CERTIFICATION	FACSIMILE TRANSMISSION ON DER STATES PA	tours

I hereby certify that this correspondence is being transmitted by facsimile to the United States Patent and Trademark Office at 571-273-8300 on the date shown below.

Kathleen L. Boekley

Type or print name of person signing certification

Dear Sir:

This certification is being made for the Information Disclosure Statement accompanying this certification.

CERTIFICATION

I, the person signing below, certify that each item of the information contained in the Information Disclosure Statement submitted herewith was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the statement (37 C.F.R. § 1.97(e)(1)).

P.04

Appl, No. 10/792,076 Cert. for IDS dated August 4, 2005

STATEMENT

CROMPTON SEAGER TUFTE

I state that the aforementioned foreign communication was not received by any individual designated in § 1.56(c) more than thirty (30) days prior to the filing of the Information Disclosure Statement.

The person making this certification is a person who is the attorney who signs below on the basis of the information in the attorney's file.

Respectfully submitted,

Elaine Lim et al.

David M. Crompton, Reg. No. 36 CROMPTON, SEAGER & TUFTE, LLC

1221 Nicollet Avenue, Suite 800 Minneapolis, MN 55403-2420 Telephone: (612) 677-9050

Facsimile: (612) 359-9349

FORM PTO-1449			Atty. Docket No.: 1001.1766101		S 1	Serial No.: 10/792,076	
LIST OF PATENTS AND PUBLICATIONS FOR APPLICANTS INFORMATION DISCLOSURE STATEMENT			Aj	pplicant: Elaine Lim et	al.		
			Confirmation No.: 4203				
				ling Date: larch 2, 2004		Froup Art: 3763	
	U.S	S. PATENT	DO	CUMENTS		T === ====	
Examiner Initial	Document No.	Date		Name		Filing Date If Appropriate	
AA	2001/0008970 A1	07/19/20	01	Ravenscroft et al.			
AB	5,141,494	08/25/19	92	Danforth et al.			
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AE	6,527,741	03/04/20	003	Lee et al.			
			.,				
	FOR	EIGN PAT	EN]	DOCUMENTS			
	Document No. Date			Country		Translation Yes No	
	OTHER ART (Including Auth	10 r, 1	Nitle, Date, Pertinent Pages, E	itc.)		
							

EXAMINER: DATE CONSIDERED:

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.